

PATENT
Docket No. 24534-080000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of Braun et al.

Appln. No. 09/930,913

Filed: August 15, 2001

For: System and Method for Evaluating
Real Estate Financing Structures

Examiner: unassigned

Group Art Unit: unassigned

Box: Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Certificate of Mailing

) I, hereby certify that this correspondence (along with any
) documents referred to as attached or enclosed) is being deposited
) with the US Postal Service as first class mail in an envelope
) addressed to Assistant Commissioner for Patents, Washington,
) DC 20231, on the date of my signature.
)



Signature

10-31-01

Date of Signature

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**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION**


Applicant hereby responds to the Notice To File Missing Parts of Nonprovisional Application dated September 18, 2001, (copy enclosed) and provides the missing Combined Declaration and Powers of Attorney herewith. This application is on behalf of other than a small entity Pursuant to 37 C.F.R. § 1.17(e), the surcharge for filing this Response is for other than a small entity in the amount of \$130.00, charge Account No. 13-0206 the sum of \$130.00. A duplicate of this transmittal is attached. Applicant believes that no extension of time is required.

If any additional fee is required in connection with this communication, charge Account No. 13-0206. A duplicate of this transmittal is attached.

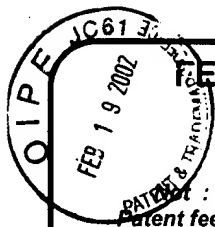
Date: October 31, 2001

Respectfully submitted,

MCDERMOTT, WILL & EMERY
227 West Monroe Street
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Stephen T. Scherrer, Reg. No. 45,080
One of the Attorneys for Applicant(s)



FEE TRANSMITTAL

Patent : Effective November 10, 1998
Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT \$130.00

Complete if Known

Appln. Number 09/930,913
Filing Date August 15, 2001
First Named Inventor Braun et al.
Title System and Method for Evaluating Real Estate...
Group Art Unit unassigned
Examiner Name unassigned
Attorney Docket No. 24534-080000

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account 13-0206
Deposit Account Name McDermott, Will & Emery

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:

☐ Check ☐ Money ☐ Other Order

FEE CALCULATION

1. FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101 | 740 | 201 | 370 | Utility filing fee | |
| 106 | 330 | 206 | 165 | Design filing fee | |
| 107 | 510 | 207 | 255 | Plant filing fee | |
| 108 | 740 | 208 | 370 | Reissue filing fee | |
| 114 | 160 | 214 | 80 | Provisional filing fee | |

SUBTOTAL

2. CLAIMS

| | Highest No. Paid For | Extra | Fee from below | Fee Paid |
|---------------------------|----------------------|-------|----------------|----------|
| Total Claims | -20 = | 28 | x 18 | = |
| Independent Claims | -3 = | 5 | x 84 | = |
| Multiple Dependent Claims | | | x | = |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------|-----------------|----------------|-----------------|---|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claims |
| 109 | 84 | 209 | 42 | Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | Reissue claims in excess of 20 and over original patent |

SUBTOTAL

FEE CALCULATION (continued)

3. ADDITIONAL FEES

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| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee paid |
|----------------------------------|-----------------|----------------|-----------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | \$130.00 |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design Issue fee | |
| 144 | 600 | 244 | 300 | Plant Issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| Other fee (specify) | | | | | |
| Other fee (specify) | | | | | |
| Reduced by Basic Filing Fee Paid | | | | | |
| SUBTOTAL (3) | | | | | \$130.00 |

SUBMITTED BY

Typed or Printed Name Stephen T. Scherr r

Signature

Date

October 31, 2001

Complete (if applicable)

Reg. Number 45,080

Deposit Account 13-0206



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/930,913 | 08/15/2001 | William J. Braun | 24534-080000 |

CONFIRMATION NO. 1702

FORMALITIES LETTER



OC000000006569681

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Date Mailed: 09/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.


The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

02/25/2002 AOSMAN1 00000012 130206 09930913

01 FC:105 130.00 CH

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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